

## **ENVIRONMENTAL INFORMATION (SCOTLAND) REGULATIONS 2004 - POLICY**

### **1. Policy**

- 1.1 Lister is committed to openness and transparency. We will comply with the provisions of the Environmental Information Regulations (Scotland) Act 2004 and related legislation, including the Freedom of Information (Scotland) Act 2002 (FOISA), the UK General Data Protection Regulation (UK GDPR) and the Data Protection Act 2018.

### **2. Scope**

- 2.1 The Environmental Information (Scotland) Regulations 2004 (EIRs) came into force on 1 January 2005, to fulfil the UK's legal obligations under European environmental directive 2003/4/EC. The Information Commissioner's Office (ICO) regulates the EIRs in the UK. A direct link to the Regulations is here:  
<http://www.legislation.gov.uk/ssi/2004/520/contents/made>
- 2.2 The EIRs provides a right of access to environmental information held by Lister. The regulation also sets out exceptions from the obligations to release information.
- 2.3 Environmental information is sometimes available under other legislation or by public registers created as a result of other legislation.

### **3. Definition of Environmental Information**

- 3.1 A broad definition is applied in the regulations as to what may be considered environmental information. This includes any information in written, visual, electronic or any other material form on:
- The state of the elements of the environment - such as air, water, soil, land.
  - Substances - Energy, noise, radiation or waste, emissions, discharges and other releases into the environment affecting or likely to affect the state of any of the elements of the environment outlined above.
  - Measures - Including administrative measures such as policies, legislation, plans, programmes, environmental agreements, and any activities affecting or likely to affect the state of any of the elements outlined above.
  - Reports - Cost-benefit and other economic analyses used in these policies, plans, programmes, agreements and other activities.
  - The state of human health and safety, contamination of the food chain and cultural sites and built structures.
- 3.2 Lister is required under the Freedom of Information (Scotland) Act to produce and maintain a Guide to Information (a guide to the information they hold which is publicly available). If the information sought is not in this Guide then it can be requested.
- 3.3 Generally, requests for information need to be responded to within 20 working days, with an extension permitted of up to 40 working days for complex or voluminous request. Compliance requires good records management to know if the information exists and to be able to locate it promptly.

### **4. Responsibilities**

- 4.1 All staff are responsible for ensuring that Environment Information requests they receive are dealt with in accordance with the EIRs and in compliance with this policy.

Staff should forward all initial requests for information received by Lister to the Director. All requests must be dealt with promptly and in line with this policy.

4.2 Requests can be made verbally and staff must ensure full details are recorded carefully.

4.3 Requests should be made to the Director at the address given above.

## **5. Publication Scheme and Guide to Information**

5.1 Lister has adopted the Scottish Information Commissioner's Model Publication Scheme and the SFHA's 'Open All Hours' Guide to Information.

5.2 The Guide sets out what information Lister will make available, classified by type of information, and how this information can be accessed. It also details how much it will cost if there are any associated charges. The Scheme can be accessed using the following link to our website: [Guide to Information – Lister Housing Co-op](#)

## **6. Requests for Information**

6.1 Members of the public are entitled to request information from Lister.

6.2 All recorded information held by Lister falling within the functions set out in 'Scope' above, is subject to the requirements of the EIRs. The type of information which may be requested can be paper or electronic.

6.3 Where a valid request is received, there is a duty on Lister to confirm or deny whether it holds the information and if it does hold it, to provide the information so long as an exception does not apply. If information has been requested but is not held, Lister will inform the applicant of this.

6.4 In exceptional cases Lister may not be able to either confirm or deny if the information requested is held, for example where the request is for personal information of a person other than the requester.

6.5 If a request is unclear, Lister will ask for clarification as soon as possible to enable us to proceed with considering the request. Lister will provide advice and assistance to help people make requests under the EIRs. Lister aims to respond to all requests promptly and in any event within 20 working days following receipt of a valid request. The applicant will be informed if it is not possible to comply with this timescale and will be given an indication of when the response is likely to be provided.

## **7. Charges for information**

7.1 The EIRs allow public authorities to charge for making environmental information available, but any charge must be reasonable. The ICO states that any charges should be compatible with encouraging transparency and should not be an obstacle to such access. In general, a reasonable charge may include the disbursements costs in transferring the information to the applicant and the staff time taken to locate the information.

7.2 In respect of any request for information Lister reserves the right to:

- Refuse requests on the basis that they are manifestly unreasonable, subject to a public interest test. Under the Environmental Information Regulations there is no 'cost limit' for dealing with requests, but requests that cost a disproportionate amount can be refused under regulation 12 (4) (b);
- Refuse requests for copies of information where it is shown that EIRs Regulation 5 or 6(1) (b) has been complied with and the information is already accessible either for inspection, at a public library or published on a website, or other public resource.

- 7.3 Please see our Charging policy for more information on this our approach to charging
- 7.4 If a charge is applicable, then we will issue a 'Fee Notice' informing the applicant of the required fee before processing the request for information and as soon as possible within the 20 working day deadline following receipt of the request. Once we issue a Fee Notice, the 20 working day time limit for responding stops and will start again only when we receive payment.
- 7.5 Any fees or disbursement costs paid to us are non-refundable. Fees and disbursement costs that we charge should be paid by bank transfer (see below), cheque or postal order, made payable to Lister and sent to: 36 Lauriston Place, Edinburgh, EH3 9EZ. Bank transfer: Sort 80-02-24 Account 00594640.

## **8. Statistics**

- 8.1 Lister must submit statistical reports to the Scottish Information Commissioner quarterly. The reports include the numbers of requests received under EIRs, FOISA and UK GDPR legislation, whether any exemptions or exceptions were used and whether any reviews were carried out.

## **9. Exceptions**

- 9.1 The EIRs do not entitle applicants to be given all information held by Lister. The EIRs sets out exceptions from the right of access to information - see Section 10.
- 9.2 Although there might be occasions when it is appropriate to rely on an exception, provision of information is an integral part of Lister's work. Therefore, we aim to disclose as much information as possible and rely on exceptions only in limited circumstances.
- 9.3 Where a request is refused, a refusal notice must be issued setting out the section of EIRs being relied upon and in most instances explaining the reasons for the refusal, including the details of any public interest and prejudice tests that have been applied. The refusal notice will also outline the review procedure with relevant details and inform the requester of their right to complain to the Information Commissioner.

## **10. Exceptions under EIRs**

- 10.1 EIRs provides clear guidelines for Lister with regard to where exceptions may apply to the Co-operative's duty to provide access to environmental information. We may not progress a request made for environmental information if the requests meets any of the following criteria:

(A) *Information is Not Held – Regulation 10(4)(a)*

If we do not hold the environmental information then it is not covered by EIRs. If the information is not held then it should not be created in order to fulfil a request for information. Advice and assistance should be given to the individual making the request if an organisation is known who may hold the information requested. The contact details of that organisation should be provided to the individual.

(B) *The Request is Manifestly Unreasonable – Regulation 10(4)(b)*

Environmental information is exempt if fulfilling the request would place a substantial burden on the Co-operative by providing the information. The fact that a request is detailed, complex or contains a volume of work which may tie up resources does not in itself make the request manifestly unreasonable. Reference should be made to the costs that may be charged in providing information and careful consideration must be applied before a request is refused under this criteria.

(C) *The Request is Too General or Otherwise Unclear – Regulation 10(4)(c)*

If the request for environmental information is considered too general or unclear to progress further clarification should be sought from the individual who has made the request (see section 3). If the request remains too general or unclear following receipt of further clarification then we may refuse the request citing regulation 10(4)(C).

(D) *Information is in the Course of Completion, Unfinished Documents or Incomplete Data – Regulation 10(4)(d)*

A request for information can be subject to an exception if we are currently working on a document and the document can be expected to have more work carried out in relation to it within a reasonable timeframe. A document which is in draft format or status is not automatically subject to the terms for exception. The regulation refers to documents that are actively being worked on. If this exception is applied, then we must inform an individual making a request of the timescale when it reasonably expects the information or document to be completed to allow the individual to make a request for the information in the future.

(E) *Internal communications – Regulation 10(4)(e)*

Internal communications can be exempt under EIRs. The definition applied to internal communications includes internal email exchanges, draft letters to an external organisation and a file note prepared for internal use. Any communication between two or more staff members is considered internal communication. It is also possible for communications between Lister and a third party to be considered internal communication however the onus is on us in this situation to demonstrate that aspects of the administrative or legal relationship with the third party show why communication should be considered internal.

(F) *International relations, defence, national security or public safety – Regulation 10(5)(a)*

Disclosure of environmental information is exempt where disclosure would substantially prejudice international relations, defence, national security or public safety.

(G) *The course of justice – Regulation 10(5)(b)*

Environmental information is exempt from disclosure where disclosure would substantially prejudice the course of justice including law enforcement; impair the ability of an individual to receive a fair trial or the ability of a public body to conduct an inquiry of a criminal or disciplinary nature.

(H) *Intellectual Property rights – Regulation 10(5)(c)*

Environmental information is exempt from disclosure where disclosure would substantially prejudice intellectual property rights including registered rights such as patents, trademarks and designs and unregistered rights such as copyright and design rights.

(I) *Confidentiality of the proceedings of the Co-operative where such confidentiality is provided for in law – Regulation 10(5)(d)*

Environmental information is exempt from disclosure where disclosure would substantially prejudice the confidentiality of the proceedings.

(J) *Confidentiality of commercial or industrial information – Regulation 10(5)(e)*

Environmental information is exempt from disclosure where disclosure would substantially prejudice the confidentiality of commercial or industrial information where such confidentiality is provided for by law to protect a legitimate economic interest.

(K) *Interests of the individual providing the information – Regulation 10(5)(f)*

Environmental information is exempt from disclosure where disclosure would substantially prejudice the interests of the person who provided the information where it was supplied to the Co-operative on a voluntary basis and where the person supplying the information had no expectation that the information would be disclosed to a third party and has not consented to disclosure.

(L) *Protection of the environment – Regulation 10(5)(g)*

Environmental information is exempt where disclosure would substantially prejudice the protection of the environment.

(M) *Personal data – Regulation 11*

Environmental information is exempt to the extent that it includes personal data.

10.2 Where the Co-operative decide not to disclose information to an individual making a request under EIRs, one or more of the criteria listed above must be quoted in correspondence issued to the individual giving reasons why the information they have requested has not been disclosed. Use of any of the exceptions outlined above must be applied as restrictively as possible, and a presumption in favour of the release of information must always be adopted.

## **11. Vexatious requests**

11.1 While we are committed to providing information, we sometimes receive requests which can be deemed 'vexatious'. In determining whether a request may be vexatious we will consider whether meeting the request is likely to cause a disproportionate or unjustifiable level of distress, disruption or irritation.

11.2 Where we believe the request to be vexatious, we will issue a refusal notice unless we have already done so in response to an earlier vexatious or repeated request from the same individual, and it would be unreasonable to issue another one.

## **12. Repeated requests**

12.1 Lister can refuse requests if they are repeated within a reasonable timescale from the previous request, whether or not they are also vexatious.

## **13. Complaint/Request for a review**

13.1 Anyone who has made a request for information to Lister under the EIRs is entitled to request an internal review if they are unhappy with the way their request has been handled.

13.2 Internal reviews will be carried out by a member of staff who was not involved with the original decision.

13.3 A request for review may be about:

- a decision not to give them some or all of the information
- how an exception has been applied
- how the request was handled (e.g. failing to reply to them within the time limit allowed)
- a complaint about our Publication Scheme / Guide to Information
- failing to give them advice about, and help with, making their request

- asking them to pay a fee that they might feel is unreasonable

13.4 Lister may ask the applicant for clarification of the grounds of their complaint if the grounds are not clear.

13.5 A request for an internal review should be sent to the contact details set out above. An internal review will consider whether or not the request was handled appropriately, in line with the requirements of the EIRs. Applicants wishing to ask for an internal review must do so within 40 working days of the date of Lister’s final response to their request.

13.6 Lister will acknowledge the request and aims to respond within 20 working days of receipt. In a small number of cases, the response may take longer. In these circumstances, Lister will notify the requester, explain why more time is needed and give an estimate of the completion date.

13.7 Anyone who is unhappy with the outcome of an internal review is entitled to complain to the Scottish Information Commissioner.

**14. Review**

14.1 This policy will be reviewed every 24 months or when required to address any weakness in the procedure or changes in legislation or best practice.

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